

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : H04Q 7/32	A1	(11) International Publication Number: WO 00/48416 (43) International Publication Date: 17 August 2000 (17.08.00)
(21) International Application Number: PCT/FI00/00092 (22) International Filing Date: 9 February 2000 (09.02.00) (30) Priority Data: 990256 9 February 1999 (09.02.99) FI (71) Applicant (for all designated States except US): SONERA SMARTTRUST OY [FI/FI]; c/o Sonera Oyj, P.O. Box 106, FIN-00051 Sonera (FI). (72) Inventors; and (75) Inventors/Applicants (for US only): VATANEN, Harri [FI/GB]; 2 Rushmere Place, Englefield Green, Surrey TW20 0NN (GB). LIUKKONEN, Jukka [FI/FI]; Männikkötie 9 G 53, FIN-00630 Helsinki (FI). HILTUNEN, Matti [FI/FI]; Otakuja 2 B 27, FIN-02150 Espoo (FI). (74) Agent: PAPULA OY; P.O. Box 981, (Fredrikinkatu 61 A), FIN-00101 Helsinki (FI).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> <i>In English translation (filed in Finnish).</i>
(54) Title: METHOD FOR THE UTILISATION OF APPLICATIONS STORED ON A SUBSCRIBER IDENTITY MODULE (SIM) AND FOR THE SECURE TREATMENT OF INFORMATION ASSOCIATED WITH THEM (57) Abstract <p>The present invention relates to telecommunication systems. The object of the invention is to disclose a method and system for the utilization of applications stored on a subscriber identity module (SIM) and for secure treatment of the associated information in a telecommunication system. In the method, the keys required for encryption and/or decryption and/or signature are saved to the subscriber identity module (SIM), in a space (3) to which access is only allowed to a predetermined partition stored on the subscriber identity module (SIM) in a given operating mode. In addition, other essential information relating to the applications used can be stored in said space (3). The invention makes it possible to send and receive encrypted messages and to decrypt them. The present invention can be used e.g. in various payment transactions requiring security.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakistan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

METHOD FOR THE UTILISATION OF APPLICATIONS STORED ON A SUBSCRIBER IDENTITY MODULE (SIM)
AND FOR THE SECURE TREATMENT OF INFORMATION ASSOCIATED WITH THEM

FIELD OF THE INVENTION

5 The present invention relates to telecommuni-
cation technology. In particular, the invention con-
cerns a new type of method and system for the utiliza-
tion of applications stored on a subscriber identity
module (SIM) and for secure treatment of the informa-
10 tion associated with them using a mobile station in a
telecommunication system.

BACKGROUND OF THE INVENTION

 Mobile communication networks, e.g. GSM net-
15 works (GSM, Global System for Mobile communications)
enjoy a great popularity especially in Europe. Supple-
mentary services associated with mobile communication
networks are correspondingly increasing at an ever
faster pace, in widely varying fields of application.
20 The mobile telephone can be used e.g. as a means of
paying for small purchases e.g. in automatic vending
machines for refreshment drinks and in automatic car
wash systems. Everyday functions, such as payment
functions, have been and will be added to the services
25 available via mobile stations. Next-generation mobile
stations will be considerably more advanced than their
predecessors in respect of service level and data
transmission capacity.

 At present, most of the mobile telephones in
30 use are devices consistent with the current mobile
communication standard, the GSM standard. Present mo-
bile stations do not support any other functions than
those programmed in them by the manufacturers. In cer-
tain cases, the user interface of the telephone can be
35 tailored, though in a very limited scope. Adding new

programs and features to mobile stations consistent with the present standard would require special measures and an enormous labor input.

A problem with telephones consistent with the present mobile communication standard is that adding new properties afterwards to mobile telephones is almost impossible. To do this, it would be necessary to set the new properties separately in each mobile telephone as an after-care operation. If the updates were additionally manufacturer and device-specific, this would constitute a big problem for service providers as there is no uniform standard for this. In addition, the current mobile communication standard does not support two-way communication between the mobile station and the subscriber identity module connected to it.

A further problem is how to produce a secure and encrypted message traffic between the applications on the subscriber identity module (SIM) and service provider applications. Another problem is how to define the right of access to a given part of the subscriber identity module so that only a predetermined party may access it.

The object of the present invention is to eliminate the drawbacks referred to above or at least to significantly alleviate them. The object of the invention is to disclose a method and system that make it possible to implement the addition of new properties to telephones consistent with the present mobile communication standard by means of the subscriber identity module and to implement two-way communication between the mobile station and the subscriber identity module connected to it, by making use of e.g. SMS messages (SMS, Short Message Service) or ADN memory locations (ADN, Abbreviated Dialing Number).

However, a specific object of the invention is to disclose a method and system that make it possi-

ble to implement secure message communication with a mobile station. In the present invention, only a predetermined party and/or a predetermined partition of the subscriber identity module has a right of access
5 to encryption and/or decryption keys placed on the subscriber identity module and/or to other information in the same space.

As for the features characteristic of the present invention, reference is made to the claims.

10

SUBJECT OF THE INVENTION

The method of the present invention relates to the use of applications stored on the subscriber identity module and to secure treatment of the information associated with them. The system of the invention
15 comprises a telecommunication network, a mobile station connected to the telecommunication network and a subscriber identity module connected to the mobile station. The telecommunication network is preferably a
20 mobile communication network.

In the method of the invention, the mobile station is started up and a predetermined code is given in conjunction with the start-up. By means of this code, a desired operating mode of the mobile station is selected. If a special security mode is selected in conjunction with start-up, then it will be possible to encrypt and decrypt messages by means of the mobile station. In this text, instead of the expression 'security mode', the synonymous expression
25 'Subset mode' is used. If the same predetermined code has been assigned to several segments in the subscriber identity module, then the segment which comes first in sequential order is selected. If the code inquiry function has been deactivated in conjunction
30 with start-up of the mobile station, then the normal mobile communication mode is used.

The subscriber identity module consists of various partitions, e.g. an operating system, different memory areas and so on. In the present invention, a distinct space in the subscriber identity module is set apart for the storage of the keys needed for the encryption and/or decryption and/or signature of messages and possible other essential information. The distinct space may only be accessed by predetermined parties, e.g. the operating system and/or its extension. In other words, applications stored on the subscriber identity module have no direct access or right of access to the distinct space, but any demand regarding utilization of the space is routed via a predetermined partition. This predetermined partition is e.g. the operating system and/or an extension of the operating system.

The utilization of the distinct space can be controlled via an OTA interface (OTA, On The Air). Via this interface, in a certain predetermined operating mode, it is possible to load new applications and/or encryption keys associated with applications onto the subscriber identity module. The OTA interface makes it considerably easier to set new applications and/or keys on the subscriber identity module. New applications can be updated by radio from an OTA server in the form of second-class eight-bit messages, in which case the message is transferred directly to the subscriber identity module. The update information is transmitted in a way unnoticed by the user of the mobile station.

The use of an OTA interface also provides an advantage in a situation where the mobile station is misused and the owner wants to prevent the use of the distinct space altogether. An application is installed in the subscriber identity module e.g. in the form of SMS modules signed and encrypted by a TTP (Trusted Third Party) for the receiver of the application. The

updating of the application is only completed after all the modules associated with the application have been verified and decrypted. Even the encryption key, e.g. public key, associated with the applications
5 comes in an encrypted form to the subscriber identity module. If an application is removed from the subscriber identity module, the associated key is removed with it.

ADN and SMS memory locations can be utilized
10 in the operation according to the invention. On the basis of the operating mode, a functional menu can be loaded from the subscriber identity module into ADN memory locations. The desired function can then be started by saving the contents of a given ADN memory
15 location to the mobile station.

In the Subset mode selected at start-up, the service application utilizes the information contained in a given segment of the subscriber identity module. This segment may be separate from other possible segments or it may share common areas in the file and
20 memory space of the subscriber identity module with other segments. In the invention, the service application uses e.g. ADN memory locations for two-way communication between the mobile station and the subscriber
25 identity module. The application or process is started e.g. when information is written to the subscriber identity module.

The applications on the SIM card are implemented using e.g. the SAPL language. A command received by the card is examined in the application concerned. If the command does not apply to the application, then it is directed to the operating system (SetCOS) of the SIM card. All commands addressed to the card are passed via a so-called Subset application,
30 which decides whether the command is to be directed to the application in question or past it. The Subset application decides itself which commands are
35

to be directed to it and it also decides the way in which the commands are to be handled. A Subset command forms part of e.g. an SMS message. The command is produced e.g. by changing the contents of a given ADN
5 memory location.

The operation of the application being used may depend on the information contained in the distinct space. The procedure of loading new applications and/or keys has to be made secure by the use of a pre-
10 determined identifier. The identifier may be e.g. a PIN2 number. Likewise, to succeed in encrypting and/or decrypting a message, the user has to supply a predetermined identifier. Each application in the subscriber identity module or the service produced by
15 each application is associated with an encryption and/or decryption key or some other unambiguous identifier.

The system of the present invention for the utilization of applications stored on a subscriber
20 identity module in a telecommunication system comprises a telecommunication network, a mobile station connected to it and a subscriber identity module connected to the mobile station. According to the invention, the system comprises means for saving the keys
25 required for encryption and/or signature to the subscriber identity module to a space to which access is only allowed in a given operating mode to a predetermined partition stored in the subscriber identity module.

30 The system comprises means which allow the utilization of the applications stored in the subscriber identity module as well as secure treatment of the information associated with them.

The invention also concerns a subscriber
35 identity module. It comprises a data processing device, a storage device connected to the data processing device and a data transfer device connected to the

data processing device. In addition, the subscriber identity module is provided with a connection interface for the transfer of information between the mobile station and the subscriber identity module.

5 As compared with prior art, the present invention has the advantage that it allows mobile stations consistent with the present standard to be used for secure, encrypted message communication. In other words, to utilize the functions according to the invention, it is not necessary to have a mobile station
10 consistent with Phase 2+. Another advantage is that the mobile station can be used for controlling various applications in the subscriber identity module without updating the mobile station software at all. A further
15 advantage is that the operating system used in the subscriber identity module may be any operating system suited for the purpose. Moreover, the invention allows easier management of the keys associated with the encryption procedures.

20

LIST OF ILLUSTRATIONS

In the following, the invention will be described in detail by the aid of a few examples of its embodiments with reference to the drawings, wherein

25 Fig. 1 is a general representation of a preferred telecommunication system according to the invention,

 Fig. 2 is diagram representing a preferred subscriber identity module according to the invention,

 Fig. 3 illustrates a preferred mobile station
30 start-up procedure according to the invention,

 Fig. 4 presents a short message structure according to the invention,

 Fig. 5 presents another short message structure according to the invention, and

35 Fig. 6 presents a preferred embodiment of the invention.

DETAILED DESCRIPTION OF THE INVENTION

The telecommunication system illustrated in Fig. 1 comprises a telecommunication network 1. In a preferred case, the telecommunication network is a GSM network. Connected to the mobile communication network 1 is a mobile station 2, which again comprises a subscriber identity module SIM connected to it. Moreover, the mobile station 2 comprises means 14 for entering into a desired mode after a predetermined code has been fed into the subscriber identity module SIM in conjunction with start-up of the mobile station 2.

In a preferred embodiment as illustrated in Fig. 1, when the mobile station is started up, functions or commands for starting the encryption of short messages stored in the subscriber identity module are loaded into the ADN memory locations. A string functioning as a starter of encryption of short messages stored in the subscriber identity module is loaded into a memory location corresponding to a first speed dialing selection of the mobile station. When the user presses the first speed dialing key, the string stored in the corresponding ADN memory location is read and, controlled by it, the short messages stored are encrypted. The above description may correspondingly apply to the decryption of short messages received, in which case the decryption command may be stored in a different memory location.

In a preferred embodiment according to Fig. 1, functions for executing e.g. transactions like making payments to predetermined parties are loaded into the ADN memory locations in conjunction with the start-up of the mobile station. The data loaded into the ADN memory locations may consist of e.g. account numbers most often needed by the user, such as the account numbers associated with regular customer relationships with different enterprises. In this example, the user has a regular customer account in a certain

shop. When the user wants to pay for purchases at the cash by means of a mobile station, he selects from the ADN memory locations of the mobile station the account to be debited and the receiver's account and enters
5 the amount to be charged into the memory location. After this, an encrypted short message for remitting the payment corresponding to the cash bill is sent by means of the mobile station to the bank or to the shop's terminal. If the amount exceeds a predetermined
10 limit, e.g. fifty dollars, then the user will confirm the payment with his own electronic signature.

In a preferred embodiment according to Fig. 1, a desired amount is paid to a predetermined receiver by means of a mobile station. In conjunction
15 with start-up, the mobile station has been set into a given mode in which payments can be remitted. In this example, the amount to be remitted is 2000 dollars. The user enters the amount into a memory location and acknowledges the amount to be debited to a predetermined
20 account. The remittance is confirmed with an electric or electronic signature by encrypting the message to be sent using the payer's secret RSA key (RSA, Rivest, Shamir, Adleman). The remittance is started by writing to a predetermined memory location
25 a given string which activates the remittance.

The user unambiguously manifests his will by giving his PIN code. The PIN code is never transmitted with the message. The operating system performs a verification of the PIN code and removes the PIN code
30 if it was entered correctly.

For the manifestation of the user's will, e.g. a PIN2 verification of the terminal equipment is used. In this case, after a short message has been created, reading of the short message to be signed is
35 only allowed if PIN2 has been entered correctly. If the PIN2 code entered is incorrect, then the card will be locked up. Entering the code incorrectly e.g. three

times successively will result in the subscriber identity module being locked up.

A third alternative is to give the PIN code in an ADN field.

5 A subscriber identity module SIM according to the invention as illustrated in Fig. 2 comprises means 4 for saving the keys required for the encryption and/or signature of the message to a space 3 in the subscriber identity module SIM to which only a prede-
10 termined partition stored in the subscriber identity module SIM has a right of access in a given operating mode.

 According to the invention, the subscriber identity module SIM comprises means 5 for storing in-
15 formation relating to the applications to be used and/or other possible information in space 3 and means 6 for the management of space 3 and/or its contents via an OTA interface. Furthermore, the subscriber identity module comprises means 7 for controlling the
20 operation of the application in use on the basis of the information contained in space 3 in the subscriber identity module SIM and means 8 for loading a basic menu into SMS and/or ADN memory locations and/or into some other part of the subscriber identity module SIM
25 on the basis of the operating mode selected.

 The subscriber identity module SIM additionally comprises means 9 for loading new applications and/or encryption keys associated with them into the subscriber identity module SIM via an OTA interface
30 and means 10 for starting the loading of new applications and/or associated encryption keys only when this operation is confirmed with a predetermined identifier. New applications can be updated from an OTA server in the form of eight-bit messages, in which
35 case the message is transmitted directly to the subscriber identity module.

The subscriber identity module SIM further comprises means 11 for attaching an encryption and/or signing key and/or some other unambiguous identifier to each application and/or to the service produced by it, means 12 for starting the encryption and/or signing of a desired message only when the operation is confirmed with a predetermined identifier, means 13 for dividing the subscriber identity module SIM into a number of separate segments and means 14 for selecting a segment in the subscriber identity module SIM using an identifier which is set in conjunction with the start-up of the mobile station 2.

Dividing the subscriber identity module into a number of separate segments makes it possible to store several applications in the module SIM. Each segment may contain a completely separate area of the file and memory space of the subscriber identity module, or the segments may share the same areas of the file and memory space.

In an embodiment of the invention, the subscriber identity module SIM comprises a filter arranged to process all information to be stored on the subscriber identity module. In an application mode, the filter processes the control commands to be transferred to the card via saving of ADN memory locations. For each application, the filter processes the commands on the basis of the contents of the memory location, producing a new command which is passed to the operating system of the subscriber identity module.

The subscriber identity module SIM preferably comprises a data processing device 15, a storage device 16 connected to the data processing device 15 and a data transfer device 17 connected to the data processing device 15. In addition, the subscriber identity module SIM is provided with a connection interface IF for data transfer between the mobile station 2 and the subscriber identity module SIM.

Fig. 3 presents the PIN code verification procedure applied at the start-up of the mobile station. In block 20, the mobile station is turned on. According to the standard, the data required for start-up are read from the subscriber identity module SIM. In block 21, if the PIN inquiry function has been turned off, then the mobile station is activated in the normal GSM mode. If the PIN inquiry function is on, then the PIN code is entered, block 23. In block 24, the PIN code supplied by the user is verified. If the PIN code does not correspond to a valid identifier giving access to one of the segments, then the procedure will return to the PIN entry stage, block 23. If the PIN code is the code required for the normal GSM mode, then the telephone is activated in normal GSM mode, block 22. If the code supplied refers to the application mode, then the procedure will go on to block 25.

Fig. 4 presents the structure of a short message according to the invention. The field names and commands shown in Fig. 4 are only given as examples. The plain language part and delimiter in the (HEADER) field are placed at the beginning of the message and they indicate to the user the message type or the actions to be performed on the message. For example, a 'save' command included in the plain part tells the user that the message has to be saved to the subscriber identity module. Such a situation may occur e.g. when the telecommunication terminal receives a short message as provided by the invention and the message is not transferred directly to the subscriber identity module but remains in the terminal. Similarly, a 'send' command tells the user that the message has to be sent to the short message service center.

A CRC code in the (RSACID) field is used to deal with collisions occurring in connection with the

creation of key pairs. If a key being created already exists, then the code is increased by one from the highest CRC code among the keys subject to collisions. As there may occur several collisions, the key pair
5 having the highest CRC code has to be retrieved first.

The bytes in the (RSAID) field are used to define the name of the key. The RSAID and RSACID identifiers unambiguously define the owners of the public keys. The RSAID identifier is a computational sum generated from a public key pair, a signing and encryption
10 key and general data relating to the key. When the key pair of the other party is loaded to the subscriber identity module, the workability of the keys can be already verified during the loading phase.

The identifier in the (CLASS) field is used to define the type of the message. Each byte in the identifier corresponds to a specification determining how the message is to be treated. Such specifications include e.g. public encryption key, public signing
15 key, key holder information, verification of keys installed, plain-language message, signed message, encrypted message, signed and encrypted message, form overlay or precompletion of a selected form.

The (RFU) field is reserved for possible
25 later needs.

The (UDATA) field contains the useful load of the message. The useful load may consist of a free-format string or a string filled in according to the form overlay.

Fig. 5 presents four different examples of the short message structure. 'Short message' means e.g. an SMS message as used in the GSM system. The short message consists of two main sections: a header (S3HD) and an actual data section (S3ADATA). The
30 length of the header (S3HD) is 95 bits and that of the data section (S3ADATA) is 1025 bits.

The header (S3HD) may have one of four possible structures. The structure is determined by the two leftmost bits (S3HDT) of the header (S3HD). In case I, S3HDT contains the bit pair 00. Anum and Bnum contain
5 the identifiers of the receiving and sending parties, and together they form the section SMSCEENV. RFU (Reserved for Future Use) and S3HEADER (S3HD) constitute the actual data section S3SMS of the message. RFU contains information at present undefined. This form of
10 the message has been reserved for future use, so it will not be considered here.

In message type II, the header (S3HD) contains three fields: S3HDT, Sender and S3AP. The S2HDT field contains the bit pair 01. The Sender field con-
15 tains information about the sender of the message. The receiver of the message (S3SMS) is identified by means of the Bnum and S3AP fields.

In message type III, the header section (S3HD) comprises two fields: S3HDT and S3AP. S3HDT
20 consists of the bit pair 10 and the receiver of the message (S3SMS) is indicated by the Bnum and S3AP fields together. The sender of the message (S3SMS) is indicated in the Anum field.

In message type IV, the header section (S3HD)
25 comprises four fields: S3HDT, Receiver, Sender and S3AP. S3HDT consists of the bit pair 11. This message type is used in GSM system mobile stations consistent with Phase 2. The structure of the message (S3SMS) is described in detail in specification ETSI 03.38. The
30 entire space of the Receiver field (40 bits) can be utilized in mobile stations consistent with Phase 2+ because these allow SMS messages to be passed directly to the subscriber identity module (SIM).

In the following we shall consider ways in
35 which the above-mentioned fields can be used to indicate both applications placed on the subscriber identity module and service provider applications.

A given application on the subscriber identity module is indicated by means of the Receiver, Sender and S3AP fields. For indication, bits 1..33 in the Receiver field are used as in a normal situation, 5 whereas bits 34..40 unambiguously indicate the application for which the message is intended. The bits 1..40 of the Sender field point at the application group of the sender and the bits 1..13 of the S3AP field point at the sender's application. The Anum and 10 Bnum fields are not used for indicating a given application in the subscriber identity module.

Service producer applications are indicated in a somewhat different way. A given application is indicated by means of the Bnum, Receiver and S3AP 15 fields. Bnum indicates the target to which the S3SMS message is to be transmitted. Bits 34..40 in the Receiver field indicate a given application of the service provider. The bits 1..13 in the S3AP field indicate the application to which the message is to be directed. 20

Fig. 6 presents a preferred embodiment of the invention as an example of the operation of the system. The system is implemented utilizing the GSM system 69. The example presented in Fig. 6 comprises a 25 SIM card 67, which may work in two different "modes". One of these is a normal mobile communication mode, in which the normal Phase 2 functions are in use. The other mode is a so-called Subset mode, in which specific application mode functions 65 are available. In 30 this mode, the SIM card 67 can be used to produce digital signatures. To use the Subset mode 65, it is not necessary to have a Phase 2+ mobile station 68, but this mode can also be used in Phase 2 mobile stations. The SIM card 67 is switched to the Subset mode 35 65 at start-up if the user gives the PIN code required for that mode. Similarly, the SIM card 67 is switched to the normal mobile communication mode if the PIN

code entered was the code for the normal mobile communication mode. In this mode 66, all Phase 2 functions are available. To switch over from one of these two modes to the other, the mobile station has to be
5 turned off and then turned on again.

The digital signature made in Subset mode 65 is implemented e.g. with the RSA algorithm using information stored in the distinct space. The information stored in that space includes the keys needed for
10 encryption and/or signature. The service provider 63, which in this example is a bank, sends an SMS message containing the data 61 to be signed to the SIM card 67. The SMS message is e.g. as presented in Fig. 5. The message to be signed is sent to the IMSI (IMSI,
15 International Mobile Subscriber Identity) for the Subset mode 65. In this example, the SIM card 67 implements the functions of two International Mobile Subscriber Identities. After the data 61 to be signed has been sent to the IMSI for the Subset mode 65, the
20 service provider 63 sends an SMS message giving notice 60 about this to the IMSI for the Phase 2 mode 66. In this way, the user is notified about the data 61 to be signed if the mobile station 68 has been started in normal GSM mode and so he can restart the mobile station
25 68 in Subset mode 65.

In Subset mode 65, the SIM card 67 contains for each service a record or file which contains the rules regarding the treatment of the data 61 to be signed and sent as an SMS message. The rules of treatment
30 are stored in space 3 presented in Fig. 2. Appended to the end of the record or file for each service is a hash of the rules of treatment for the service in question. This hash is included in the data 61 to be signed. In this way, the received data 61 to be
35 signed is unambiguously bound to a given service. The user confirms the signature by giving e.g. the PIN2 code for the Subset mode 65. The signed data 62 is

sent in the form of an SMS message back to the service provider 63 via an SMS center 64.

The invention is not restricted to the examples of its embodiments described above, but many
5 variations are possible within the scope of the inventive idea defined in the claims.

CLAIMS

1. Method for the utilization of applications stored on a subscriber identity module (SIM) and for secure treatment of information associated with them
5 in a telecommunication system comprising
a telecommunication network (1),
a mobile station (2) connected to the telecommunication network (1), and
a subscriber identity module (SIM) connected to
10 the mobile station (2), said method comprising the steps of:
starting up the mobile station (2), and
giving a predetermined code by means of which a
desired operating mode of the mobile station is selected,
15 characterized in that the method further comprises the steps of:
saving the keys required for encryption and/or decryption and/or signature to the subscriber identity module (SIM), in a space (3) to which only a predetermined partition stored on the subscriber identity module SIM has a right of access in a given operating mode.
2. Method as defined in claim 1, characterized in that information associated with
25 the applications used and/or other possible information is stored in said space (3).
3. Method as defined in claim 1 or 2, characterized in that the predetermined partition is the operating system of the subscriber identity module (SIM) and/or an extension of the operating
30 system.
4. Method as defined in any one of claims 1 - 3, characterized in that the use of the space (3) in the subscriber identity module and/or the
35 contents of said space (3) are/is controlled via an OTA interface.

5 5. Method as defined in any one of claims 1 -
4, characterized in that the application
used is controlled on the basis of the information
contained in space (3) in the subscriber identity mod-
ule (SIM).

10 6. Method as defined in any one of claims 1 -
5, characterized in that new applications
and/or encryption keys associated with them are loaded
into the subscriber identity module (SIM) by using an
operating menu and/or on the basis of the information
contained in space (3) via the OTA interface.

15 7. Method as defined in any one of claims 1 -
6, characterized in that, when new applica-
tions and/or encryption keys associated with them are
to be loaded into the subscriber identity module
(SIM), a predetermined confirmation and/or password is
required for the loading operation.

20 8. Method as defined in any one of claims 1 -
7, characterized in that an encryption
and/or signing key and/or some other unambiguous iden-
tifier is attached to each application and/or to the
service produced by it.

25 9. Method as defined in any one of claims 1 -
8, characterized in that the encryption
and/or signing of the desired message is only started
when the function is confirmed with a predetermined
password.

30 10. Method as defined in any one of claims 1
- 9, characterized in that the subscriber
identity module (SIM) is divided into several separate
segments.

35 11. Method as defined in any one of claims 1
- 10, characterized in that the selection
of a segment of the subscriber identity module (SIM)
is controlled by supplying a predetermined code into
the subscriber identity module (SIM) in conjunction
with the start-up of the mobile station (2).

12. Method as defined in any one of claims 1
- 11, characterized in that, based on the
operating mode selected, a basic menu is loaded into
SMS and/or ADN memory locations and/or into some other
5 part of the subscriber identity module (SIM).

13. Method as defined in any one of claims 1
- 12, characterized in that, if the same
predetermined code has been assigned to more than one
segment in the subscriber identity module (SIM), then
10 the segment coming first in sequential order is selected.

14. Method as defined in any one of claims 1
- 13, characterized in that, if the code
inquiry function has been deactivated in conjunction
15 with the start-up of the mobile station (2), then the
normal mobile communication mode is used.

15. Method as defined in any one of claims 1
- 14, characterized in that the message is
an SMS message.

20 16. Method as defined in any one of claims 1
- 15, characterized in that the message
used consists of a header section and an actual data
section.

17. Method as defined in any one of claims 1
25 - 16, characterized in that the header section
of the message consists of a header type indicator
and/or receiver information and/or sender information
and/or application indicator and/or other information.

30 18. Method as defined in any one of claims 1
- 17, characterized in that the encryption
method used is the RSA method.

19. System for the utilization of applications
stored on a subscriber identity module (SIM) and
35 for secure treatment of information associated with
them in a telecommunication system comprising
a telecommunication network (1),

a mobile station (2) connected to the telecommunication network (1), and

a subscriber identity module (SIM) connected to the mobile station (2), said method comprising the

5 steps of:

starting the mobile station (2), and

giving a predetermined code by means of which a desired operating mode of the mobile station is selected, characterized in that the system

10 comprises

means (4) for saving the keys required for encryption and/or signature to the subscriber identity module (SIM), in a space (3) to which only a predetermined partition stored on the subscriber identity module SIM has a right of access in a given operating mode.

20. System as defined in claim 19, characterized in that the system comprises means (5) for saving information associated with the applications used and/or other possible information to space (3).

21. System as defined in claim 19 or 20, characterized in that the system comprises means (6) for controlling said space (3) and/or its contents via an OTA interface.

22. System as defined in any one of claims 19 - 21, characterized in that the system comprises means (7) for controlling the operation of the application in use on the basis of the information contained in said space (3) in the subscriber identity module (SIM).

23. System as defined in any one of claims 19 - 22, characterized in that the system comprises means (8) for loading a basic menu on the basis of the selected operating mode into SMS and/or ADN memory locations and/or into some other part of the subscriber identity module (SIM).

24. System as defined in any one of claims 19 - 23, characterized in that the system comprises means (9) for loading new applications and/or encryption keys associated with applications into the subscriber identity module (SIM) via an OTA interface.

25. System as defined in any one of claims 19 - 24, characterized in that the system comprises means (10) for starting the loading of new applications and/or associated encryption keys only when the operation is confirmed with a predetermined identifier.

26. System as defined in any one of claims 19 - 25, characterized in that the system comprises means (11) for attaching an encryption and/or signing key and/or other unambiguous identifier to each application and/or to the service produced by it.

27. System as defined in any one of claims 19 - 26, characterized in that the system comprises means (12) for starting the encryption and/or signing of the desired message only when the operation is confirmed with a predetermined identifier.

28. System as defined in any one of claims 19 - 27, characterized in that the system comprises means (13) for dividing the subscriber identity module (SIM) into several separate segments.

29. System as defined in any one of claims 19 - 28, characterized in that the system comprises means (14) for selecting a segment in the subscriber identity module (SIM) by means of a code supplied in conjunction with the start-up of the mobile station (2).

30. System as defined in any one of claims 19 - 29, characterized in that the telecommunication network (1) is a mobile communication network.

31. Subscriber identity module (SIM), comprising

a data processing device (15),
a storage device (16) connected to the data processing device (15), and

a data transfer device (17) connected to the data
5 processing device (15) and provided with a connection
interface (IF) for the transfer of information between
the mobile station (2) and the subscriber identity
module (SIM), on which subscriber identity module
(SIM) applications and encryption algorithms associ-
10 ated with encryption methods are stored, c h a r a c -
t e r i z e d in that the subscriber identity module
(SIM) comprises

means (4) for storing the keys needed for encryp-
tion and/or signature in a space (3) to which only a
15 predetermined partition stored on the subscriber iden-
tity module SIM has a right of access in a given oper-
ating mode.

32. Subscriber identity module (SIM) as de-
fined in claim 31, c h a r a c t e r i z e d in that
20 the subscriber identity module (SIM) comprises means
(5) for saving information associated with the appli-
cations used and/or other possible information to said
space (3).

33. Subscriber identity module (SIM) as de-
25 fined in claim 31 or 32, c h a r a c t e r i z e d in
that the subscriber identity module (SIM) comprises
means (6) for controlling said space (3) and/or its
contents via an OTA interface.

34. Subscriber identity module (SIM) as de-
30 fined in any one of claims 31 - 33, c h a r a c t e r -
i z e d in that the subscriber identity module (SIM)
comprises means (7) for controlling the operation of
an application in use on the basis of information con-
tained in said space (3) in the subscriber identity
35 module (SIM).

35. Subscriber identity module (SIM) as de-
fined in any one of claims 31 - 34, c h a r a c t e r -

ized in that the subscriber identity module (SIM) comprises means (8) for loading a basic menu into SMS and/or ADN memory locations and/or into some other part of the subscriber identity module (SIM) on the basis of the operating mode selected.

36. Subscriber identity module (SIM) as defined in any one of claims 31 - 35, characterized in that the subscriber identity module (SIM) comprises means (9) for loading new applications and/or encryption keys associated with applications into the subscriber identity module (SIM) via an OTA interface.

37. Subscriber identity module (SIM) as defined in any one of claims 31 - 36, characterized in that the subscriber identity module (SIM) comprises means (10) for starting the loading of new applications and/or associated encryption keys only when the operation is confirmed with a predetermined identifier.

38. Subscriber identity module (SIM) as defined in any one of claims 31 - 37, characterized in that the subscriber identity module (SIM) comprises means (11) for attaching an encryption and/or signing key and/or some other unambiguous identifier to each application and/or to the service produced by it.

39. Subscriber identity module (SIM) as defined in any one of claims 31 - 38, characterized in that the subscriber identity module (SIM) comprises means (12) for starting the encryption and/or signature of a desired message only when this operation is confirmed with a predetermined identifier.

40. Subscriber identity module (SIM) as defined in any one of claims 31 - 39, characterized in that the subscriber identity module (SIM)

comprises means (13) for dividing the subscriber identity module (SIM) into several separate segments.

1/4

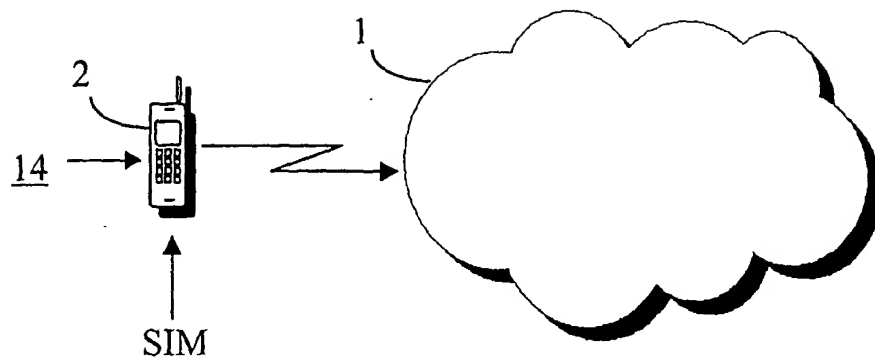


Fig. 1

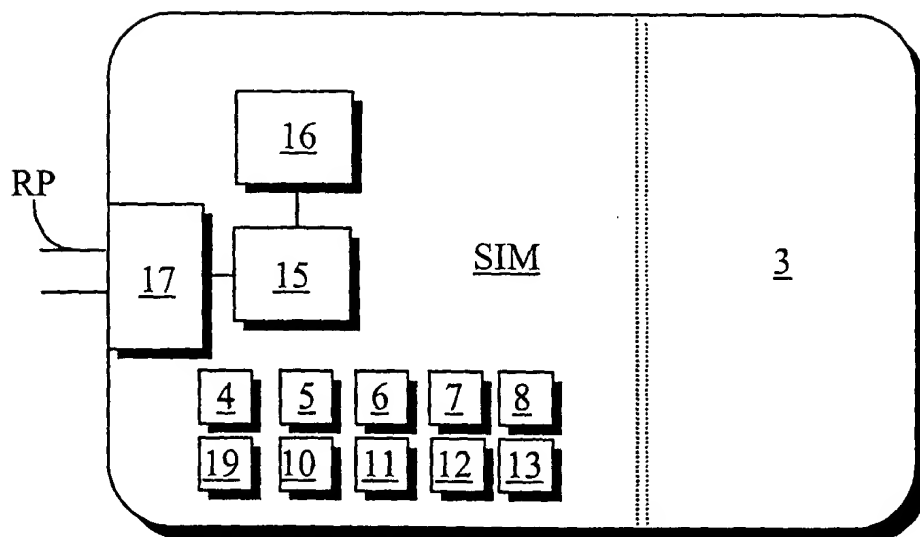


Fig. 2

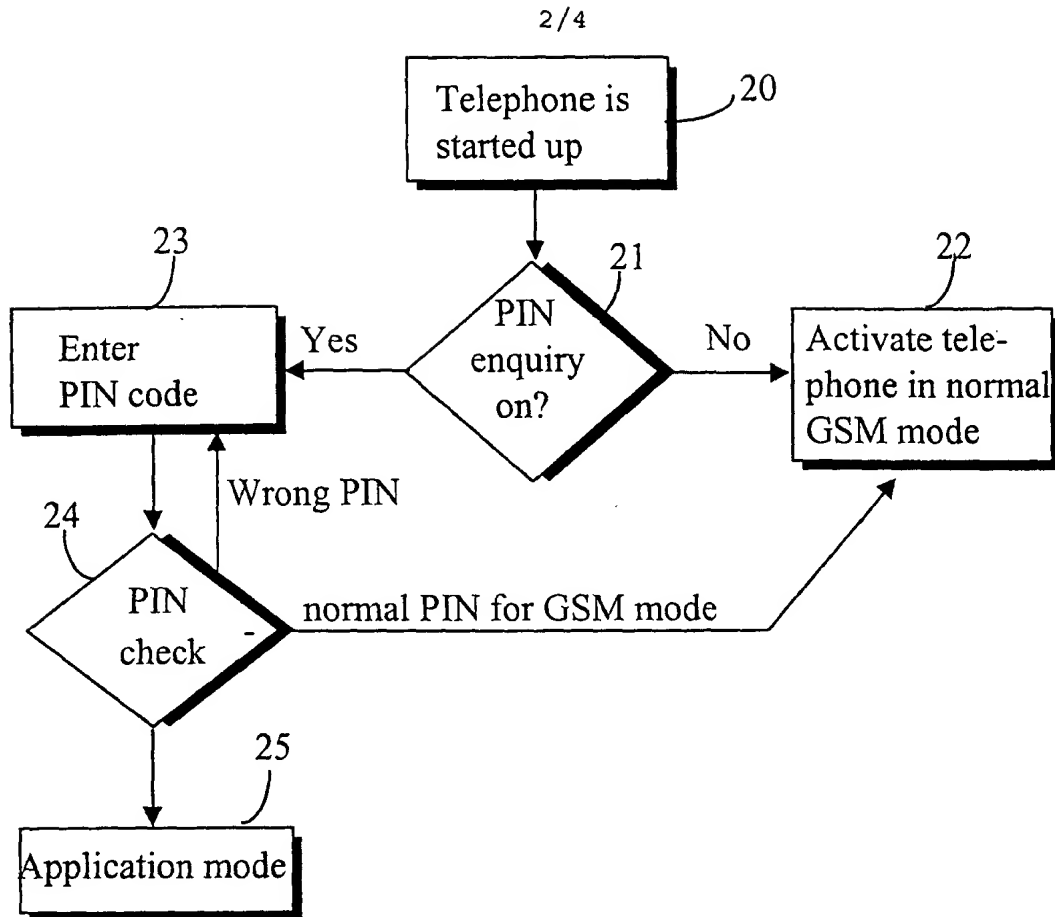


Fig. 3

<u>FIELD</u>	<u>DESCR.</u>
<u>HEADER</u>	<u>PLAIN PARTITION + DELIMITER</u>
<u>RSACID</u>	<u>IDENTIFIER</u>
<u>RSAID</u>	<u>NAME OF ENCR. KEY</u>
<u>CLASS</u>	<u>MESSAGE TYPE</u>
<u>RFU</u>	<u>IDENTIFIER</u>
<u>UDATA</u>	<u>USEFUL LOAD</u>

Fig. 4

3/4

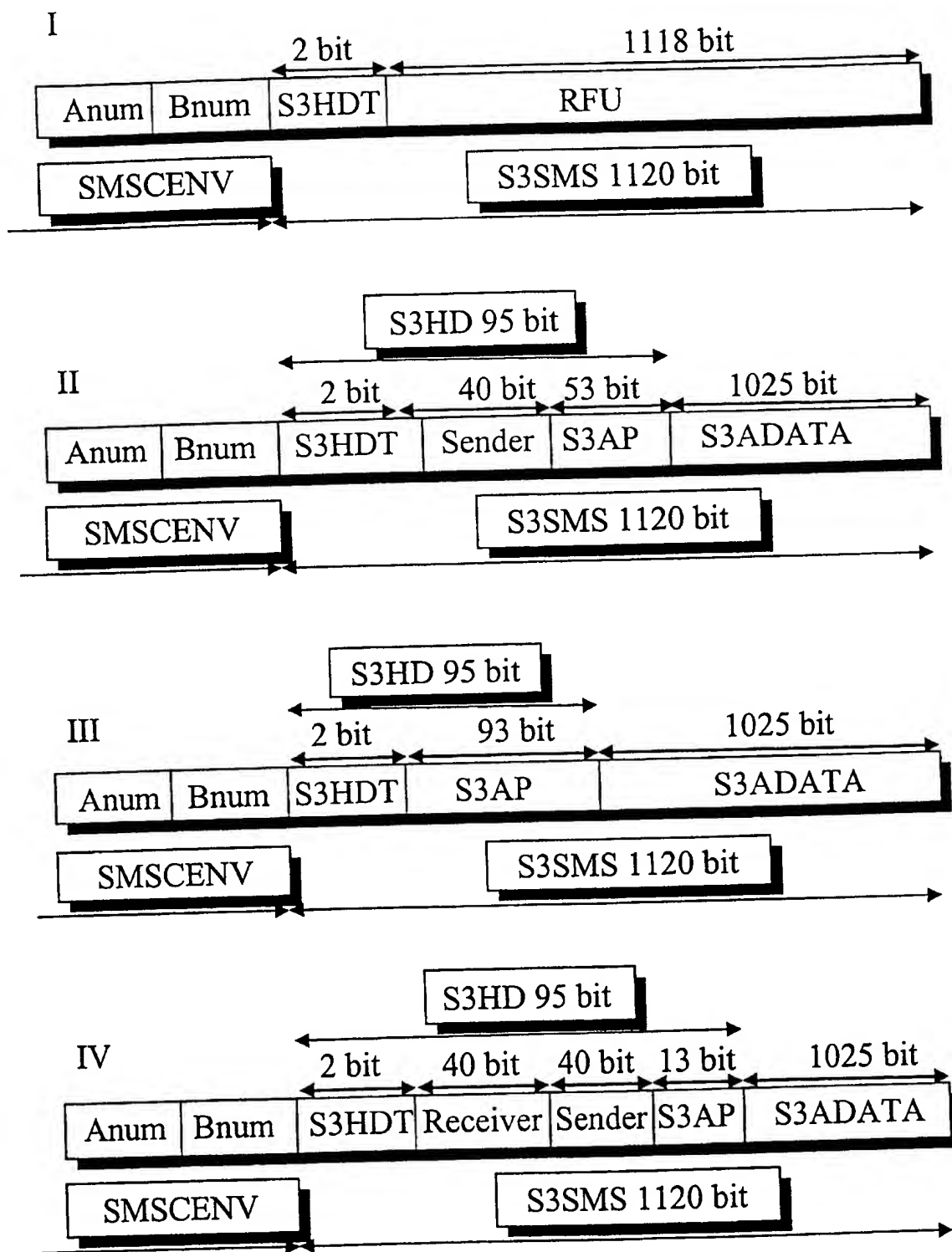


Fig.5

INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 00/00092

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H04Q 7/32

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5544246 A (MANDELBAUM ET AL.), 6 August 1996 (06.08.96), column 2, line 17 - line 28; column 4, line 48 - line 67; column 6, line 21 - line 31, figure 2, claim 1, abstract --	1-40
A	WO 9625828 A1 (NOKIA MOBILE PHONES LTD.), 22 August 1996 (22.08.96), page 3, line 20 - line 28; page 17, line 26 - line 27, claims 1,8, abstract --	1-40
P,A	WO 9939524 A1 (SONERA OY), 5 August 1999 (05.08.99), page 3, line 5 - page 4, line 2, claims 1,10,17 --	1-40

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

7 July 2000

Date of mailing of the international search report

11-07-2000

Name and mailing address of the ISA

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Jaana Raivio/ipn

Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 00/00092

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0865217 A2 (CELLTRACE COMMUNICATIONS LIMITED), 16 Sept 1998 (16.09.98), column 2, line 13 - line 28, abstract --	1-40
A	WO 99/01848 A1 (SONERA OY), 14 January 1999 (14.01.99), page 6, line 11 - line 37, claim 1 -- -----	1-40

INTERNATIONAL SEARCH REPORT
Information on patent family members

02/12/99

International application No.

PCT/FI 00/00092

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5544246 A	06/08/96	CA 2131510 A EP 0644513 A JP 7152837 A NO 943457 A	18/03/95 22/03/95 16/06/95 20/03/95
WO 9625828 A1	22/08/96	AU 696876 B AU 709016 B AU 4624796 A AU 7865698 A AU 7865798 A CN 1174648 A EP 0809916 A FI 99071 B,C FI 950685 A JP 11501424 T US 5887266 A	17/09/98 19/08/99 04/09/96 22/10/98 15/10/98 25/02/98 03/12/97 13/06/97 16/08/96 02/02/99 23/03/99
WO 9939524 A1	05/08/99	FI 3609 U FI 980085 D,V	28/09/98 17/02/98
EP 0865217 A2	16/09/98	AT 172835 T AU 691812 B AU 6934694 A BR 9406850 A CA 2165201 A CN 1127579 A CZ 9503284 A DE 69414273 D,T EP 0704140 A EP 0748135 A,B SE 0748135 T3 ES 2126979 T FI 956022 A HU 73898 A HU 215619 B HU 9503602 D JP 8511387 T NO 955079 A PL 312223 A WO 9430023 A ZA 9404242 A	15/11/98 28/05/98 03/01/95 27/05/97 22/12/94 24/07/96 12/06/96 24/06/99 03/04/96 11/12/96 01/04/99 14/02/96 28/10/96 28/01/99 00/00/00 26/11/96 18/01/96 01/04/96 22/12/94 15/12/95
WO 99/01848 A1	14/01/99	NONE	